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# TRANSMITTAL FORM

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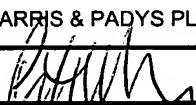
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Application Number	10/665,990
Filing Date	September 19, 2003
First Named Inventor	Michael A. Apicella
Art Unit	1645
Examiner Name	Padmavathi Baskar

## ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please Identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Preliminary Amendment and Response to Restriction Requirement (6 pgs.)
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	Return postcard
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Reply to Missing Parts/ Incomplete Application	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		
<b>Remarks</b>		

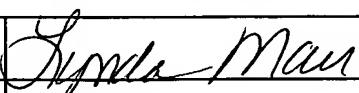
## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	VIKSNINS HARRIS & PADYS PLLP		
Signature			
Printed name	Peter L. Malen		
Date	February 21, 2006	Reg. No.	44,894

## CERTIFICATE OF TRANSMISSION/MAILING

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Lynda Mau

Date February 21, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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S/N 10/665,990

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant : Michael A. Apicella et al.

Art Unit : 1645

Serial No. : 10/665,990

Examiner : Padmavathi Baskar

Filed : September 19, 2003

Docket : 17023.031US1 / 01025

Title : VACCINE AND COMPOSITIONS FOR THE PREVENTION AND  
TREATMENT OF NEISSERIAL INFECTIONS

**PRELIMINARY AMENDMENT AND RESPONSE TO RESTRICTION  
REQUIREMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This responds to the Restriction Requirement mailed on December 19, 2005. Please amend the above-identified application as follows.

Amendments to the claims begin on page 2 of this paper. As reflected in the listing of the claims, the Examiner is respectfully requested to cancel claims 1-6 and 23-24, to amend claims 12-22, and to add claims 25-28.

Remarks, including the response to the Restriction Requirement, begin on page 5 of this paper.